

**Mark A. Bertrang  
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**Interactive Financial Advisors  
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Chicago, IL 60611**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Mark A. Bertrang that supplements the Interactive Financial Adviser's brochure. You should have received a copy of that brochure. Please call 630-472-1300 if you did not receive Interactive Financial Adviser's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mark A. Bertrang is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## ***Educational Background and Business Experience***

### **Mark A. Bertrang**

**Year of Birth: 1960**

#### **Formal Education after High School:**

- Brown Institute, Minneapolis, MN - Diploma
- American College, Bryn Mawr, PA – Chartered Life Underwriter Designation & Chartered Financial Consultant Designation
- FINRA Series 6, 7, 24, 63, and 66

#### **Business Background for the Previous Five Years**

- Interactive Financial Advisors, IAR, 01/2016– Present
- Brooklight Place Securities, Registered Representative, 03/2013 – Present
- Brooklight Place Securities, IAR, 03/2013 – 12/2015
- Bertrang Financial Corp, President, 08/1995 – Present
- MTL Equity Products, Inc., Registered Representative, 09/1995 – 03/2013
- MTL Equity Products, Inc., IAR, 01/2009 – 03/2013

## ***Disciplinary Information***

Mark A. Bertrang has no legal or disciplinary events to report. Mark A Bertrang also does not have any administrative enforcement proceedings, self-regulatory organization enforcement proceedings or any other proceedings to report.

## ***Other Business Activities***

### **Registered Representative of Brooklight Place Securities, Inc.**

As described in the Brooklight Place Securities, Inc. Part 2A Disclosure Brochure, Brooklight Place Securities, Inc. is dually registered as a broker-dealer and an investment adviser. Mark A. Bertrang is licensed as both an investment adviser representative and a registered representative with Brooklight Place Securities, Inc., which as a registered securities broker/dealer is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investors Protection Corporation (SIPC). When acting in his separate capacity as a registered representative of Brooklight Place Securities, Inc., Mr. Bertrang may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, Mr. Bertrang may suggest that advisory clients implement investment advice by purchasing securities products through a commission-based Brooklight Place Securities, Inc. account in addition to and separate from any activity in an advisory account.

The receipt of commissions creates an incentive for Mark A. Bertrang to recommend those products for which he will receive a commission. Consequently, the objectivity of the advice rendered to clients could be biased.

Mr. Bertrang controls for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing a fee-based account versus establishing a commission-based account through Brooklight Place Securities, Inc. Brooklight Place Securities, Inc. does not require its advisor representatives to encourage clients to implement investment advice through Brooklight Place Securities, Inc. in its capacity as a broker-dealer.

Mark A. Bertrang does not earn commissions in fee-based accounts.

Mark A. Bertrang will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for Mr. Bertrang to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, Mr. Bertrang will receive 12b-1 fees only in commission-based brokerage accounts. Brooklight Place Securities, Inc. maintains records of all 12b-1 fee payments to Mark A. Bertrang, which may be viewed by clients upon request.

Clients are never obligated or required to establish accounts through Brooklight Place Securities, Inc. However, if a client chooses not to accept Mr. Bertrang's advice or decides not to establish an account through Brooklight Place Securities, Inc., then Brooklight Place Securities, Inc. will not be able to implement any securities transactions for the client. Clients should understand that, due to certain regulatory constraints, Mark A. Bertrang, in his capacity as a Brooklight Place Securities, Inc. registered representative must place all purchases and sales of securities products in commission-based brokerage accounts through Brooklight Place Securities, Inc. or other institutions approved by Brooklight Place Securities, Inc.

#### **Insurance Broker**

Mark Bertrang is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, Mark A. Bertrang will receive commissions for selling insurance and annuity products.

Mark Bertrang may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Mark A. Bertrang when recommending products to its clients. While Mark Bertrang endeavors at all times to put the interest of his clients first as a part of Brooklight Place Securities, Inc.'s overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Mark A. Bertrang's decision making process when making recommendations.

Mark Bertrang is an author on non investment products.

### ***Additional Compensation***

Mark Bertrang receives additional compensation as an insurance broker as described in Item 4, Mark Bertrang can also receive additional benefits as described below.

Certain product sponsors may provide Mark Bertrang with other economic benefits as a result of his recommendation or sale of the product sponsors' investments. The economic benefits received by Mark Bertrang from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Mark Bertrang in providing various services to clients.

Mark Bertrang receives royalties from his book.

Although Mark A. Bertrang endeavors at all times to put the interest of clients ahead of their own interests, these arrangements could affect the judgment of Mark Bertrang when recommending investment products.

### *Supervision*

Mark A. Bertrang is supervised by Richard L. Peterbok, President of the firm. Ms. Woiteshek, Chief Compliance Officer monitors e-mail communications and reviews personal accounts on a periodic basis. Mr. Peterbok and Ms. Woiteshek can be reached at 630-472-1300.

**BrokerCheck is a free tool to help clients and prospective clients make informed decisions regarding their financial situation. Please use the following link if you wish to conduct your own due diligence: <http://brokercheck.finra.org/>.**

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